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# 36

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Y. NAGAI et al.

Serial No.: 09/290,251

Filed: April 13, 1999

For: REPRODUCTION APPARATUS AND REPRODUCTION  
METHOD OF DIGITAL VIDEO SIGNAL OR AUDIO  
SIGNAL

Art Unit: 3625

Examiner: N. Rosen

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**GROUP 3600**INFORMATION DISCLOSURE STATEMENTAssistant Commissioner for Patents  
Washington, D.C. 20231

March 18, 2003

Sir:

A final Office Action was mailed on October 31, 2001, in connection with the above-identified application. The application is on appeal. The applicants filed a Reply Brief on August 19, 2002, and have received an Office communication dated August 29, 2002, indicating that the Reply Brief of August 19, 2002, has been entered.

The last Office communication which the applicants have received is the Office communication of October 16, 2002, in which the Examiner provided the initialed copy of the replacement form PTO-1449 which was missing from the Office communication of July 10, 2002, as requested in the paper filed on

September 5, 2002, and entitled "Request for Copy of Form PTO-1449 Missing from Office Communication of July 10, 2002".

Pursuant to 37 CFR 1.97(d) and 1.98, attached hereto are copies of U.S. Patent Nos. 5,699,474, 5,715,357, 6,289,102, 6,356,704, 6,374,036, and 6,437,933 and U.S. Patent Application Publication Nos. 2001/0046101, 2002/0007347, and 2002/0037039, and a form PTO-1449 listing these nine references.

These nine references were cited by the U.S. Patent and Trademark Office in an Office Action dated December 18, 2002, issued in application Serial No. 09/712,970 filed on November 16, 2000, which is a continuation of the present application.

Three other references, i.e. U.S. Patent Nos. 5,659,613 and 6,209,092 and Microsoft Press Computer Dictionary, third edition, 1997, page 255, were also cited in the Office Action of December 18, 2002, issued in continuation application Serial No. 09/712,970. However, these three references are not being cited in this Information Disclosure Statement because they have already been cited by or submitted to the Office in the present application.

Pursuant to 37 CFR 1.97(d), this Information Disclosure Statement is being submitted after the mailing date of the final Office Action of October 31, 2001.

Pursuant to 37 CFR 1.97(d)(1) and 1.97(e)(2), on information and belief, I hereby state that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a

counterpart foreign application, and, to the knowledge of the undersigned person after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement based on the fact that the nine references cited in this Information Disclosure Statement were cited by the Office in the Office Action of December 18, 2002, issued in continuation application Serial No. 09/712,970.

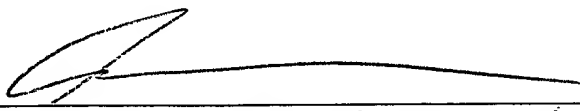
Pursuant to 37 CFR 1.97(d)(2), submitted herewith is a credit card payment form in payment of the fee of \$180.00 set forth in 37 CFR 1.17(p).

It is respectfully requested that this Information Disclosure Statement be considered.

Please charge any shortage in fees due in connection with the filing of this paper, or credit any overpayment of fees, to the deposit account of Antonelli, Terry, Stout & Kraus, LLP, Deposit Account No. 01-2135 (500.37136X00).

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

  
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~~Melvin Kraus~~ *Alan E. Schiavella*  
Registration No. ~~22,466~~ 32,087

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Attachments

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09/290,251

APPLICANT  
Y. NAGAI et al.

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FILING DATE  
April 13, 1999

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3625

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use several sheets if necessary)



**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5	6	9	9	4	7	4	12/97	Suzuki et al.	386	68	
		5	7	1	5	3	5	7	02/98	Yamagata et al.	386	96	
		6	2	8	9	1	0	2	09/11	Ueda et al.	380	201	10/01/97
		6	3	5	6	7	0	4	03/02	Callway et al.	386	94	06/16/97
		6	3	7	4	0	3	6	04/02	Ryan et al.	386	94	10/08/97
		6	4	3	7	9	3	3	08/02	Sugiyama et al.	360	69	12/21/95
2001	/	0	0	4	6	1	0	1	11/01	Ogino	360	60	01/15/98
2002	/	0	0	0	7	3	4	7	01/02	Blumenthal et al.	705	51	10/10/96
2002	/	0	0	3	7	0	3	9	03/02	Sugahara	375	240.01	02/22/95

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	NO

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation is considered, draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to applicant.